

UNITED STATES DISTRICT COURT  
DISTRICT OF MASSACHUSETTS

FRIEDRICH LU, )  
Plaintiff, )  
v. ) Civ. Action No. 15-10615-PBS  
CHARLES D. BAKER, JR., et al., )  
Defendants. )

MEMORANDUM AND ORDER  
March 30, 2016

SARIS, C.D.J.

For the reasons set forth below, the Court grants the pending motion to dismiss (Docket No. 37).

INTRODUCTION

This pro se, civil rights action was initiated on March 2, 2015, against two notaries public/court reporters and Governor Baker by plaintiff Friedrich Lu ("Lu"), who is homeless. On April 3, 2015, Lu filed a two-page amended complaint adding as defendants the companies that employed the two notaries public.

By Memorandum and Order dated March 9, 2016, the Court allowed the motions to dismiss of defendants Baker, Mitchell, Fuller and Jones & Fuller Reporting. See Docket No. 32. At that time, the Court denied Lu's motions to compel, strike answer, disqualify counsel and dismiss. See Docket Nos. 14, 25-26, 28. By separate Order dated March 9, 2016, Lu was ordered to file, no later than April 1, 2016, a valid return of service as to defendants G&M Court Reporters and Mary Piccirilli or show

good cause in writing for why service has not been made within the time required by Fed. R. Civ. P. 4(m). See Docket No. 34.

Now before the Court is defendant O'Connor's motion to dismiss. See Docket No. 37. Lu filed a timely opposition. See Docket No. 38. Lu also filed a notice advising the court that, among other things, he did not receive copies of docket entries number 29 (dated October 8, 2015); number 32 (dated March 9, 2016) and number 33 (dated March 9, 2016). See Docket No. 39. Lu explains that he did receive an envelope containing docket numbers 34, 35 and 36 which were dated March 9, 2016. Id.

#### DISCUSSION

##### A. Defendant O'Connor's Motion to Dismiss

###### I. Standard of Review

A 12(b)(6) motion is used to dismiss complaints that do not "state a claim upon which relief can be granted." See Fed. R. Civ. P. 12(b)(6). In evaluating a Rule 12(b)(6) motion, the Court must accept the factual allegations in Lu's amended complaint as true, construe reasonable inferences in his favor, and "determine whether the factual allegations in the plaintiff's complaint set forth a plausible claim upon which relief may be granted." Foley v. Wells Fargo Bank, N.A., 772 F.3d 63, 71 (1st Cir. 2014) (citation and internal quotation marks omitted). "Threadbare recitals of the elements of a cause of action, supported by mere conclusory statements, do not

suffice." Ashcroft v. Iqbal, 556 U.S. 662, 678 (2009); see also Bell Atl. Corp. v. Twombly, 550 U.S. 544, 555 (2007)(court is "not bound to accept as true a legal conclusion couched as a factual allegation").

Despite his pro se status, Lu must comply with these requirements. "[T]he fact that [Lu is proceeding] pro se militates in favor of a liberal reading" of his allegations and the Court's "task is not to decide whether the plaintiff ultimately will prevail but, rather, whether he is entitled to undertake discovery in furtherance of the pleaded claim[s]." Rodi v. Southern New England Sch. of Law, 389 F.3d 5, 13 (1st Cir. 2004) (citations omitted); see Ahmed v. Rosenblatt, 118 F.3d 886, 890 (1st Cir. 1997).

II. The Complaint Fails to State a Claim Against Defendant O'Connor

Lu argues that defendant O'Connor deprived him of his constitutional rights at the December 22, 2014 deposition when O'Connor failed to administer an oath to Lu. Under the Fourteenth Amendment of the Constitution, no State shall "deprive any person of life, liberty, or property, without due process of law." U.S. Const. amend. XIV, § 1. In order to establish a due process claim under 42 U.S.C. § 1983, Lu must first prove that he has a liberty or property interest and, second, that defendants, acting under color of state law,

deprived him of that interest without a constitutionally adequate process. See Logan v. Zimmerman Brush Co., 455 U.S. 422, 428 (1982); PFZ Properties, Inc. v. Rodríguez, 928 F.2d 28, 30 (1st Cir. 1991). Here, Lu does not allege what protected liberty or property interest he was deprived of.

Because plaintiff is pro se, and the pleadings must be construed liberally, the complaint could be read to assert that the identification requirements at the deposition interfered with his access to the courts because he was homeless and did not have identification documents. See generally Boddie v. Connecticut, 401 U.S. 371, 379 (1971)(sustaining a challenge under the Due Process Clause of the Fourteenth Amendment on the ground that indigent plaintiff was denied access to divorce proceedings because of the court's filing fee requirement).

Even if Lu had been denied access to the court because of the refusal of the court reporter to notarize his deposition, Lu must also demonstrate the absence of a "sufficient countervailing justification for the state's action." Id. at 380-381. Here, the Executive Order provides alternatives to the notary public for identifying the deponent, like a government-issued identification, the oath of a credible witness who is personally known to the public notary and who personally knows the deponent, or the personal knowledge of the public notary taking the deposition. Revised Executive Order No. 455 (04-04).

Lu has not alleged that he could not fulfill any of the requirements for identification. The requirements that a notary public verify for identification of the deponent is reasonably related to the state interest in guarding against fraud. The Court concludes that the as-applied challenge to the Executive Order must fail.

Moreover, the Court has not found any case law stating that a court reporter/notary is a state actor acting under the color of state law when taking and transcribing a deposition. Cf. Broadley v. Hardman, 301 Fed. App'x 4, 6 (1st Cir. 2008) (per curiam) (holding that the issuance of a subpoena by the notary public was insufficient to create state action).

Accordingly, plaintiff claim against O'Connor fails to state a claim upon which relief can be granted.

B. Lu's Notice to the Court on Lack of Notice

Lu complains that he was not copied on the October 8, 2015 letter from the clerk to attorney Correia-Champa. See Docket No. 29. Whether or not Lu was "cc'd" on the letter, as a party to this action, he should have received a copy of the letter by regular mail. See NEF (notice of electronic filing), Docket No. 29. As noted in the NEF, Lu and O'Connor do not receive electronic notice of docket entries and the notices are sent by regular mail. Id.

Additionally, Lu complains that he did not receive copies of (1) the March 9, 2016 Memorandum and Order (#32) and (2) the March 9, 2016 clerk's entry (#33) stating that a copy of the Memorandum and Order was mailed to both Lu and O'Connor on March 9, 2016. See Docket No. 39. Because Lu states that he did receive an envelope containing a separate Order (#34) dated March 9, 2016, as well as the accompanying docket entries (#35, #36), it appears that the clerk has mailed documents to Lu and that the problem may lie with the delivery of the mail at Lu's mailing address.

ORDER

Based upon the foregoing, it is hereby Ordered that:

1. Defendant O'Connor's Motion (Docket No. 37) to Dismiss is granted; and
2. Plaintiff Lu is reminded that he was ordered to file, no later than April 1, 2016, a valid return of service as to defendants G&M Court Reporters and Mary Piccirilli or show good cause in writing for why service has not been made within the time required by Fed. R. Civ. P. 4(m), or this action will be subject to dismissal without prejudice against G&M Court Reporters and Mary Piccirilli.

SO ORDERED.

/s/ Patti B. Saris  
PATTI B. SARIS  
CHIEF UNITED STATES DISTRICT JUDGE